

Absence of thermalization in non-integrable systems

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Dahlem Center for Complex Quantum Systems, Freie Universität Berlin

Workshop “Many-Body Quantum Dynamics in Closed Systems”

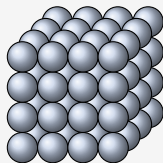
Barcelona September 7-9 2011

Old questions and new contributions

How do **quantum mechanics** and **statistical mechanics** go together?



Many-Body Quantum Dynamics in Closed Systems



-
- [1] M. Cramer, C. Dawson, J. Eisert, and T. Osborne, PRL 100 (2008) 030602
[2] P. Reimann, PRL 101 (2008) 190403

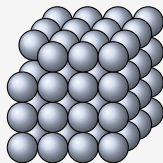
Many-Body Quantum Dynamics in Closed Systems

$$\mathcal{H}_S \otimes \mathbb{1}$$



System

$$\mathbb{1} \otimes \mathcal{H}_B$$



"Bath"

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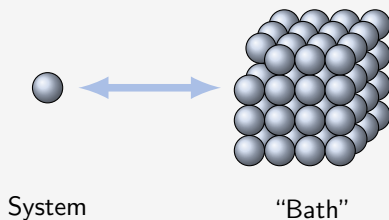
Many-Body Quantum Dynamics in Closed Systems

$$|\psi_t\rangle = e^{-i\mathcal{H}t} |\psi_0\rangle$$

$$A_t = \text{Tr}[A|\psi_t\rangle\langle\psi_t|]$$

$$\psi_t^S = \text{Tr}_B[|\psi_t\rangle\langle\psi_t|]$$

$$\mathcal{H} = \mathcal{H}_S \otimes \mathbb{1} + \mathcal{H}_{SB} + \mathbb{1} \otimes \mathcal{H}_B$$



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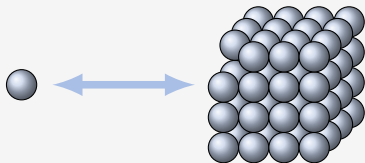
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■ Equilibration:



$$\mathcal{H} = \mathcal{H}_S \otimes \mathbb{1} + \mathcal{H}_{SB} + \mathbb{1} \otimes \mathcal{H}_B$$



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strong: equilibrated between t_1 and t_2 [1]

weak: equilibrated for most times [2]

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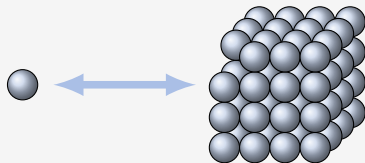
■ Equilibration:



■ Thermalization:



$$\mathcal{H} = \mathcal{H}_S \otimes \mathbb{1} + \mathcal{H}_{SB} + \mathbb{1} \otimes \mathcal{H}_B$$



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$$\psi_t^S \approx \rho_{\text{Gibbs}} \propto e^{-\beta \mathcal{H}_S}$$

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Equilibration and a maximum entropy principle

Maximum entropy principle

Theorem 1 (Maximum entropy principle [3])

If $\text{Tr}[A \psi_t]$ equilibrates, it equilibrates towards its time average

$$\overline{\text{Tr}[A \psi_t]} = \text{Tr}[A \overline{\psi_t}] = \text{Tr}[A \omega],$$

$$\text{where } \omega = \sum_k \pi_k \psi_0 \pi_k$$

*(with π_k the energy eigen projectors) is the **dephased** state that maximizes the von Neumann entropy, given all conserved quantities.*

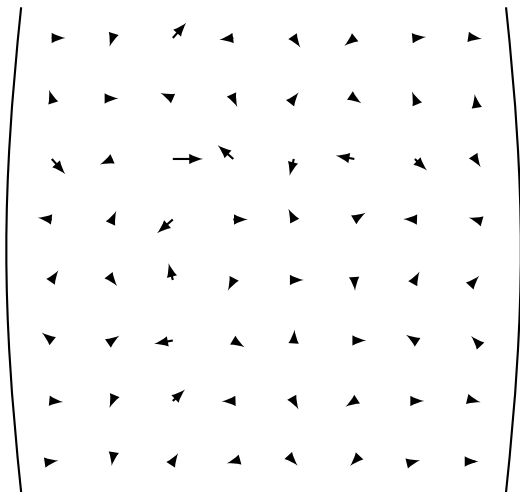
Maximum Time averaging

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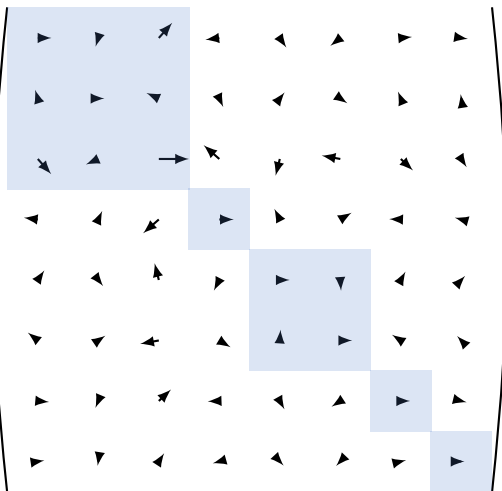
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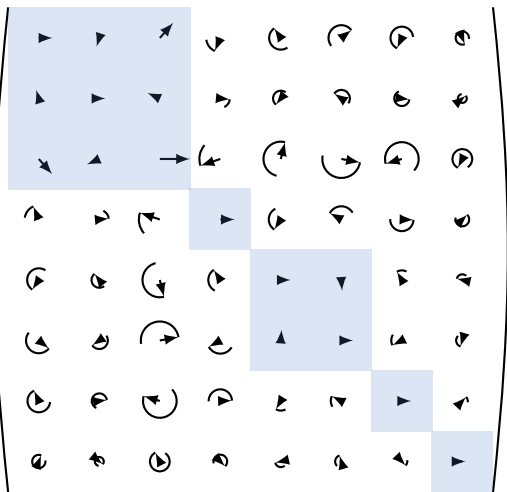
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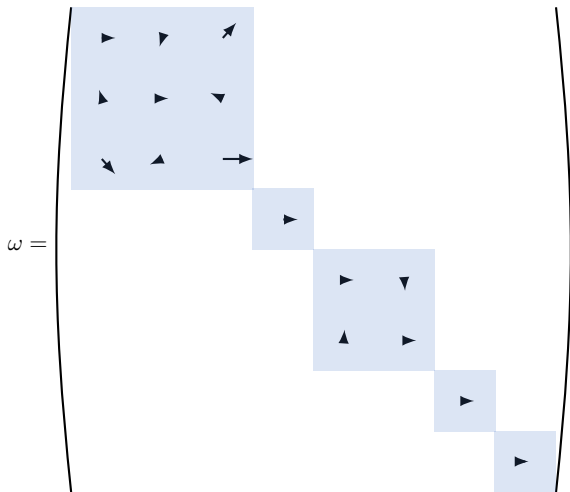
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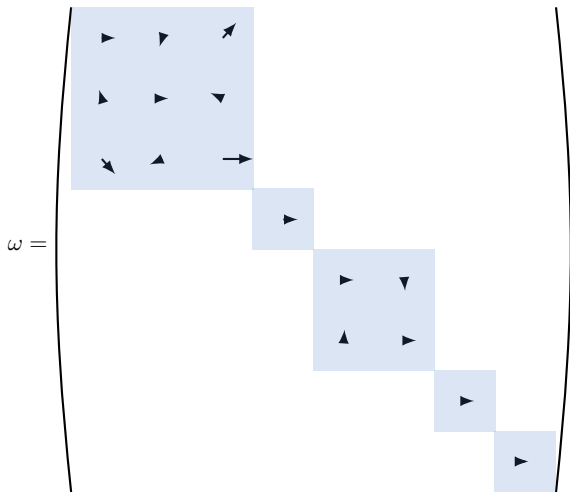
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Maximum Entropy Time averaging

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If $\text{Tr}[A \psi_0]$

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$\psi_0 \rightarrow \omega$ is a pinching $\Rightarrow \omega$ maximizes entropy.

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⇒ Maximum entropy principle from pure quantum dynamics.

Has nothing to do with (non)-integrability.

Maximum entropy principle

Theorem 1 (Maximum entropy principle [3])

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Interesting open questions:

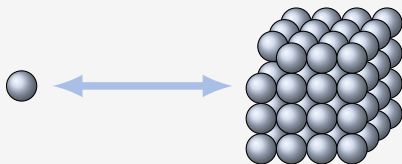
- Do we really need all (exponentially many) conserved quantities?
- If not, then which?
- Does this depend on integrability of the model?
- What is the relation to the GGE?

⇒ Maximum entropy principle from pure quantum dynamics.

Has nothing to do with (non)-integrability.

Thermalization and integrability

Thermalization is a complicated process



Thermalization implies:

- 1 Equilibration [2, 4, 5]
- 2 Subsystem initial state independence [3]
- 3 Weak bath state dependence [6]
- 4 Diagonal form of the subsystem equilibrium state [7]
- 5 Gibbs state $e^{-\beta \mathcal{H}}$ [5, 6]

[2] P. Reimann, PRL 101 (2008) 190403

[4] N. Linden, S. Popescu, A. J. Short, and A. Winter, PRE 79 (2009) no. 6, 061103

[5] J. Gemmer, M. Michel, and G. Mahler, Springer (2009)

[3] C. Gogolin, M. P. Mueller, and J. Eisert, PRL 106 (2011) 040401

[6] A. Riera, C. Gogolin, and J. Eisert, 1102.2389

[7] C. Gogolin, PRE 81 (2010) no. 5, 051127

Thermalization and quantum integrability

There is a common belief in the literature [8, 9, 10, 11, 12] ...

Non-integrable	\implies	Thermalization
Integrable	\implies	No thermalization

[8] C. Kollath et. al PRL 98, (2007) 180601

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... but there are problems.

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Notions of (non-)integrability

A system with n degrees of freedom is **integrable** if:

- There exist n (local) conserved mutually commuting linearly independent operators.
- There exist n (local) conserved mutually commuting algebraically independent operators.
- The system is integrable by the Bethe ansatz.
- The system exhibits nondiffractive scattering.
- The quantum many-body system is exactly solvable in any way.
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Lack of imagination?

Reminder on integrability in classical mechanics

Classical Liouville integrability

A system with n degrees of freedom is called **integrable** if it entails a maximal set of n independent Poisson commuting constants of motion and is called **non-integrable** otherwise [13].

[13] V. I. Arnold, Mathematical Methods Of Classical Mechanics (1989)

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- integrability \Rightarrow systematic solvable and evolution on a n -torus

Quantum:

- always systematic solvable and evolution on a d -torus

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- qualitative question
- thermalization \Rightarrow non-integrability
thermalization $\not\Leftarrow$ non-integrability

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- quantitative question?
- thermalization $\stackrel{?}{\Leftarrow}$ non-integrability

Absence of thermalization in non integrable systems

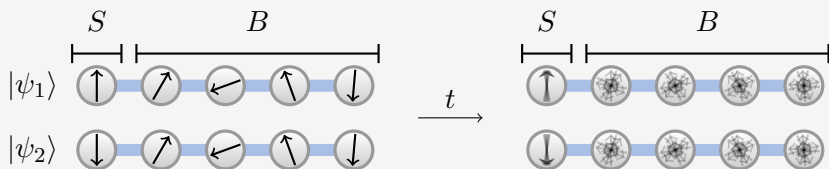
Result (Theorem 1 and 2 in [3]):

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Absence of thermalization in non integrable systems

The model:

Spin-1/2 XYZ chain with random coupling and on-site field.

$$\mathcal{H} = \sum_{i=1}^n h_i \sigma_i^Z + \sum_{i=1}^{n-1} \vec{b}_i \cdot \vec{\sigma}_i^{\text{NN}}$$

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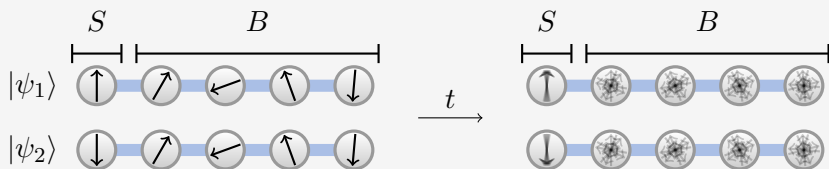
Interesting open questions:

- What is the relation to [Anderson localization](#)?
- Can this also happen in [translation invariant](#) systems?

Absence of thermalization in non integrable systems

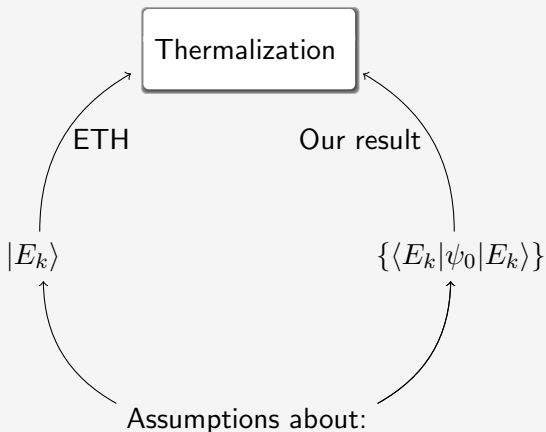
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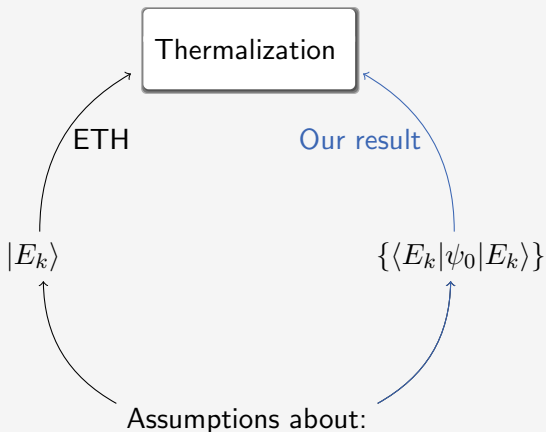


Proving thermalization

Two ways to prove thermalization



Two ways to prove thermalization



Structure of the argument

[14] S. Goldstein, PRL 96 (2006) no. 5, 050403

[6] A. Riera, C. Gogolin, and J. Eisert, 1102.2389

Structure of the argument

Classical level counting à
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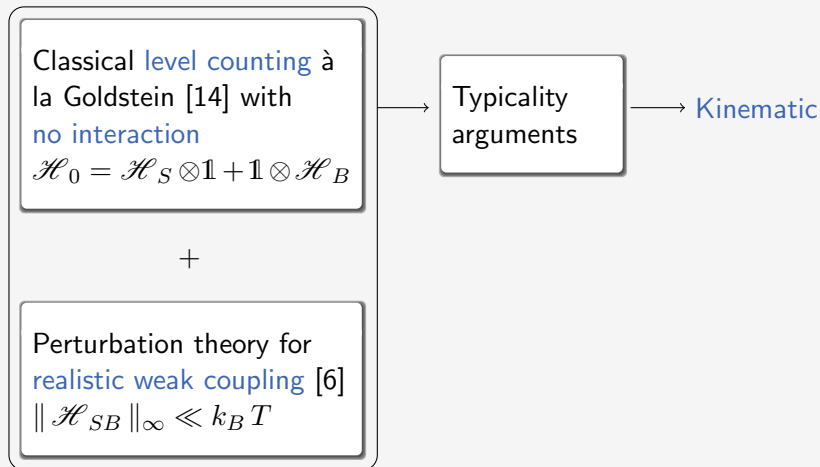
Perturbation theory for
realistic weak coupling [6]

$$\|\mathcal{H}_{SB}\|_\infty \ll k_B T$$

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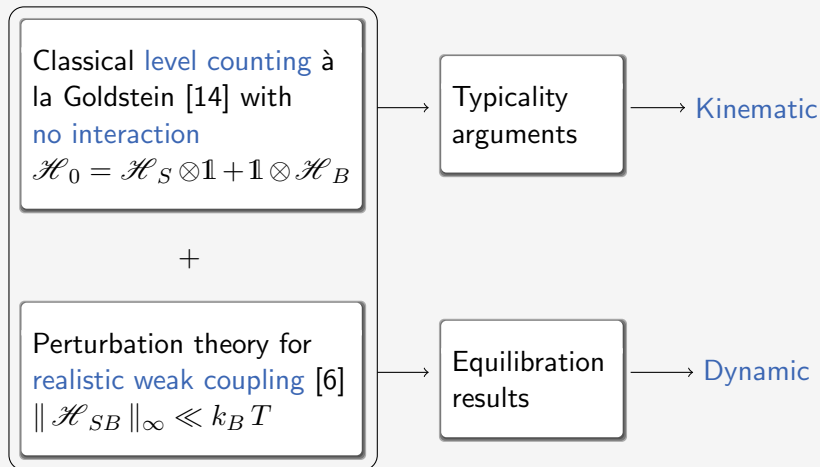
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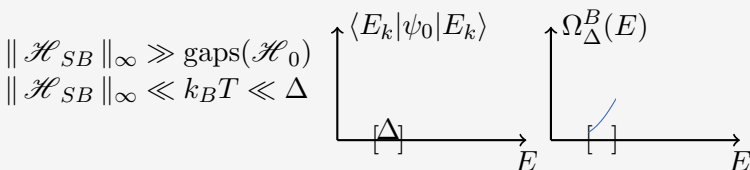
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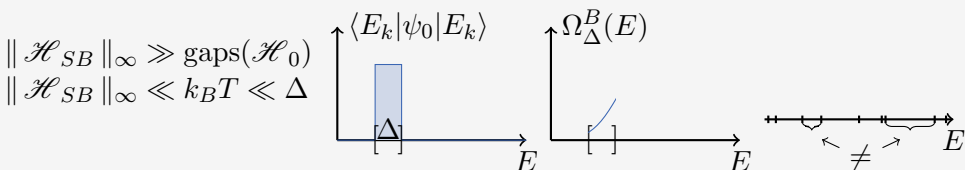
The result



\implies “Theorem” 2 (Theorem 2 in [6])

(Kinematic) Almost all pure states from a microcanonical subspace $[E, E + \Delta]$ are locally close to a Gibbs state.

The result

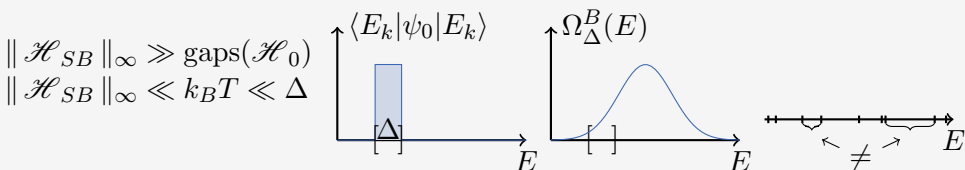


\Rightarrow “Theorem” 2 (Theorem 2 in [6])

(Kinematic) Almost all pure states from a microcanonical subspace $[E, E + \Delta]$ are locally close to a Gibbs state.

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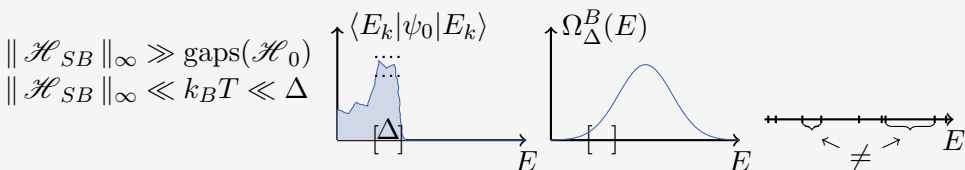


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- How are **non-integrability** and **thermalization** related?

Collaborators



Arnau Riera



Martin Kliesch



Jens Eisert



Markus P. Müller

References

Thank you for your attention!

→ slides: www.cgogolin.de

- [1] M. Cramer, C. M. Dawson, J. Eisert, and T. J. Osborne, "Exact Relaxation in a Class of Nonequilibrium Quantum Lattice Systems", *Phys. Rev. Lett.* 100 (2008) 030602.
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