

A new foundation for Statistical Physics Entanglement and the Second Law

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Canonical foundation of Thermodynamics

Thermodynamics

[1]

Canonical foundation of Thermodynamics



Thermodynamics

[1]

Canonical foundation of Thermodynamics



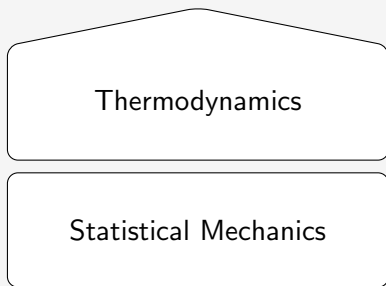
Thermodynamics

The diagram consists of two vertically stacked rounded rectangular boxes. The top box has a pointed top, resembling a roof, and contains the text 'Thermodynamics'. The bottom box is a simple rounded rectangle and contains the text 'Statistical Mechanics'. The boxes are centered horizontally on the slide.

Statistical Mechanics

[1]

Canonical foundation of Thermodynamics



Classical Mechanics

[1]

Canonical foundation of Thermodynamics

Thermodynamics

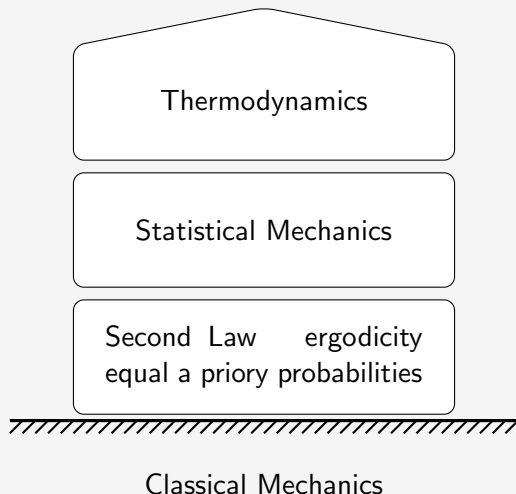
Statistical Mechanics



Classical Mechanics

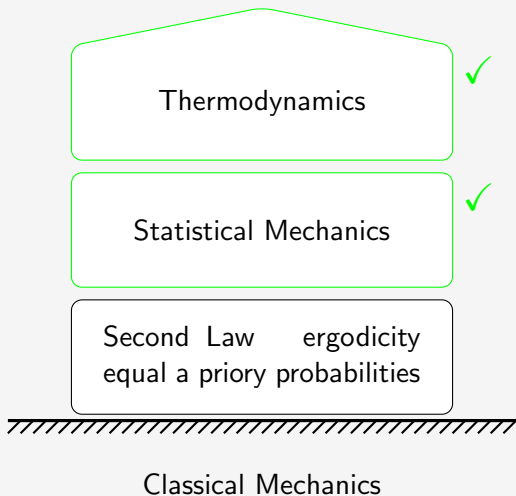
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Canonical foundation of Thermodynamics



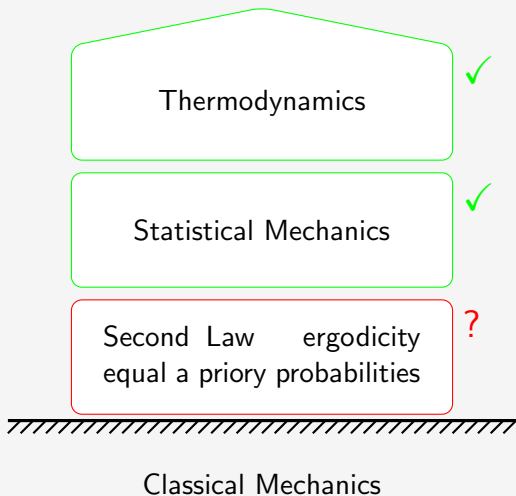
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Canonical foundation of Thermodynamics



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Canonical foundation of Thermodynamics



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What is wrong with the Second Law?

- Clausius

Heat generally can not spontaneously flow from a material at lower temperature to a material at higher temperature.

[1, en.wikipedia.org]

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- Kelvin, Planck, Kinzel

It is impossible to convert heat completely into work in a cyclic process.

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It is impossible to convert heat completely into work in a cyclic process.

- Boltzmann (H-Theorem)

The entropy in a closed system can not decrease. It stays constant only for reversible processes.

[1, en.wikipedia.org]

What is wrong with the Second Law?

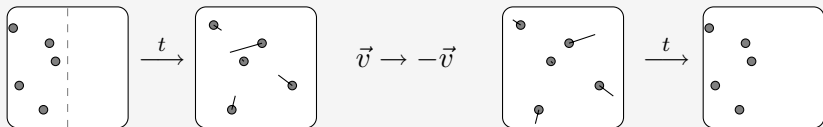
H-Theorem:

Thus, one may prove that, because of the atomic movement in systems consisting of arbitrarily many material points, there always exists a quantity which, due to these atomic movements, cannot increase, and this quantity agrees, up to a constant factor, exactly with the value [of] the well-known integral $\int \frac{\delta Q}{T}$.

*This provides an **analytical proof of the Second Law** [...] we immediately reach the result that $\int \frac{\delta Q}{T}$ is in general negative and zero only in a limit case.*

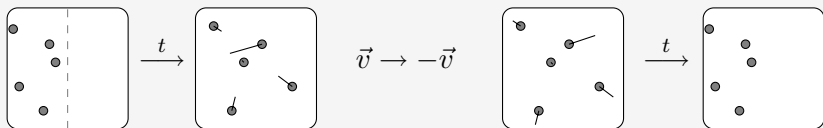
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⚡ Time reversal objection (Loschmidt)

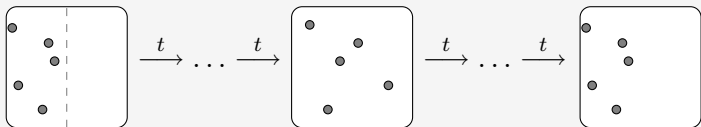


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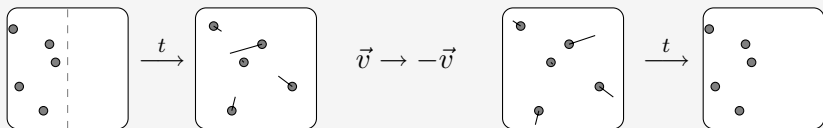


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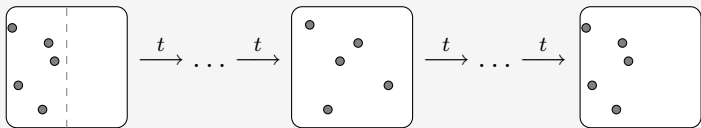


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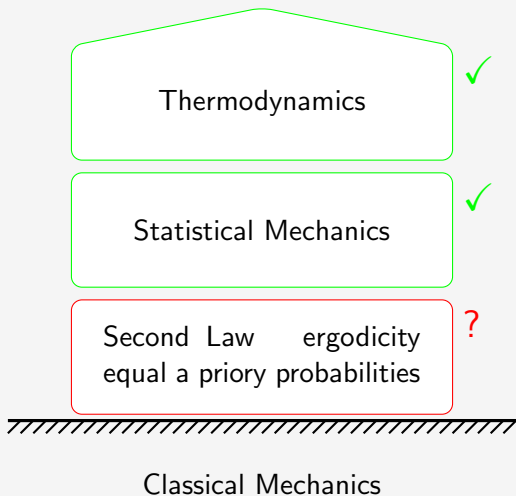
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⇒ We need a **probabilistic H-Theorem!** (Ehrenfest)

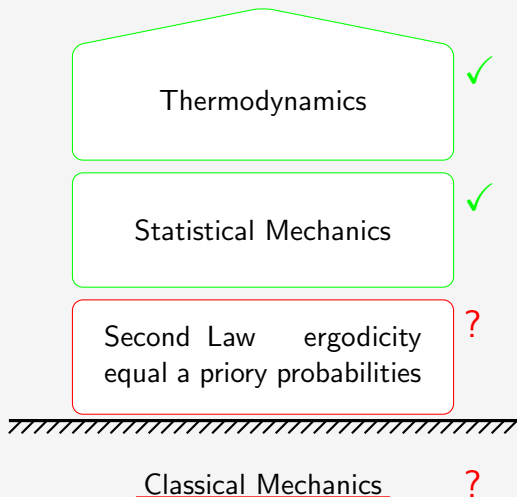
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New foundation for Statistical Mechanics



[2, 3]

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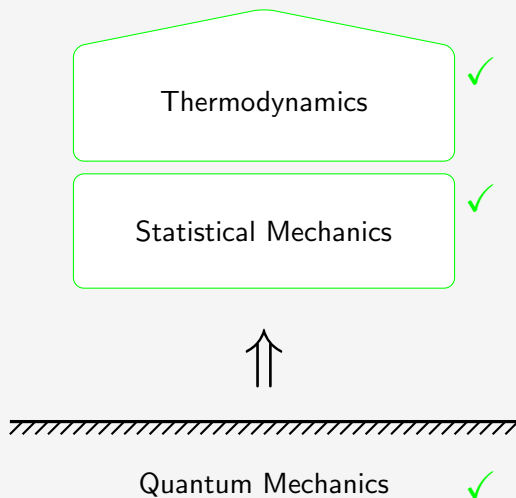


Thermodynamics ✓

Statistical Mechanics ✓

[2, 3]

New foundation for Statistical Mechanics



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Pure state quantum Statistical Mechanics ...

... must be capable of:

- reproducing results obtained from **ensemble averages**
- explaining **equilibration**
- explaining **initial state independence**
- ...

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Technical introduction

Quantum Mechanics on one slide

■ Pure Quantum Mechanics

$$|\psi\rangle \in \mathcal{P}(\mathcal{H})$$

$$\langle\psi|\psi\rangle = 1$$

$$|\psi_t\rangle = U_t |\psi_0\rangle$$

$$A = A^\dagger$$

$$\langle A \rangle_\psi = \langle\psi|A|\psi\rangle$$

$$U_t = e^{-i\mathcal{H}t}$$

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mixtures: $\rho = p \psi_1 + (1 - p) \psi_2$

Measures for distance and purity

■ Trace distance

$$\mathcal{D}(\rho, \sigma) = \frac{1}{2} \text{Tr} |\rho - \sigma|$$

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■ Time average

$$\omega = \langle \rho_t \rangle_t = \lim_{\tau \rightarrow \infty} \frac{1}{\tau} \int_0^{\tau} \rho_t \, dt$$

What is a random state?

■ Parametrization

$$|\psi\rangle = \sum_i c_i |i\rangle$$

$$1 = \sum_i |c_i|^2$$

$$\langle i|j\rangle = \delta_{ij}$$

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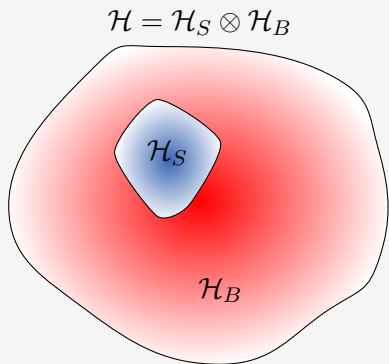
$$\Pr\{|\psi\rangle\} \stackrel{!}{=} \Pr\{U|\psi\rangle\}$$

Haar measure

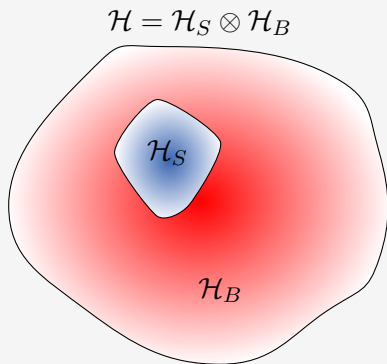
- 1 c_i normal distributed and normalize
- 2 Random U ; $|\psi\rangle = U|0\rangle$

Setup

Setup



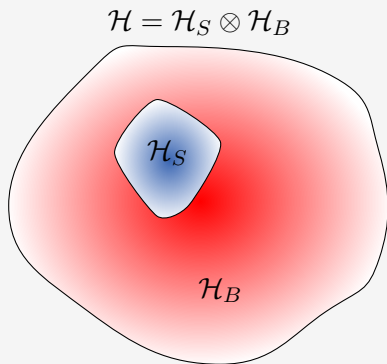
Setup



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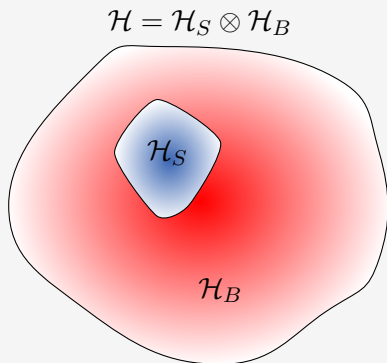


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reduced state \rightarrow locally observable

A very weak assumption

In the following we assume **non-degenerate energy gaps**.

Definition

A Hamiltonian has **non-degenerate energy gaps** iff:

$$E_k - E_l = E_m - E_n$$

$$\implies k = l \wedge m = n \text{ or } k = m \wedge l = n$$

Equilibration

Two lemmas

Lemma 1

For every $\psi_0 \in \mathcal{P}(\mathcal{H})$

$$\langle \mathcal{D}(\rho_t^S, \omega^S) \rangle_t \leq \frac{1}{2} \sqrt{\frac{d_S^2}{d^{\text{eff}}(\omega)}}$$

where

$$\rho_t^S = \text{Tr}_B \psi_t$$

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$$\rho_t^S = \text{Tr}_B \psi_t \quad \omega^S = \langle \rho_t^S \rangle_t \quad \omega = \langle \psi_t \rangle_t$$

$\implies \rho_t^S$ equilibrates if $d^{\text{eff}}(\omega)$ is large.

Two lemmas

Lemma 2

For random $\psi_0 \in \mathcal{P}(\mathcal{H})$

$$\Pr \left\{ d^{\text{eff}}(\omega) < \frac{d}{4} \right\} \leq 2 e^{-c\sqrt{d}}$$

Two lemmas

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For random $\psi_0 \in \mathcal{P}(\mathcal{H})$

$$\Pr \left\{ d^{\text{eff}}(\omega) < \frac{d}{4} \right\} \leq 2 e^{-c\sqrt{d}}$$

$\implies d^{\text{eff}}(\omega)$ is large if d is large.

Equilibration is generic

Lemma 1 + Lemma 2

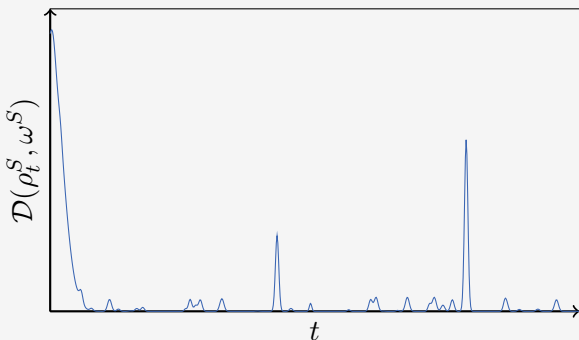
If d is large ρ_t^S equilibrates for almost all initial states ψ_0 .

[4]

Equilibration is generic

Lemma 1 + Lemma 2

If d is large ρ_t^S equilibrates for almost all initial states ψ_0 .



[4]

Initial state independence

Entanglement produces disorder

Lemma 3

If almost all energy eigenstates are close to *locally completely mixed*

$$\mathrm{Tr}_B |E_k\rangle\langle E_k| \approx \frac{1}{d_S} \mathbb{1}_{d_S \times d_S}$$

almost all equilibrium states are close to *locally completely mixed*

$$\omega^S = \langle \rho_t^S \rangle_t \approx \frac{1}{d_S} \mathbb{1}_{d_S \times d_S}.$$

Random Hamiltonians are locally mixed

Lemma 4

Almost all eigenstates $|E_k\rangle$ of a *random Hamiltonian* on \mathcal{H} with $d_B \gg d_S$ are close to *locally completely mixed* in the sense that:

$$\Pr \left\{ \forall k : \mathcal{D}(\text{Tr}_B |E_k\rangle\langle E_k|, \frac{1}{d_S} \mathbb{1}_{d_S \times d_S}) \leq \frac{\epsilon}{d_S} \right\} \\ \geq 1 - 2 d_S d_B \left(\frac{10 d_S}{\epsilon} \right)^{2 d_S} e^{-C d_B \epsilon^2}$$

Toward a probabilistic H-Theorem

What have we learned so far?

Lemma 1 subsystems equilibrate \Leftrightarrow effective dimension is high

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Lemma 3 initial state independence \Leftrightarrow locally mixed energy eigenstates

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Lemma 3 initial state independence \Leftrightarrow locally mixed energy eigenstates

Lemma 4 random Hamiltonians have locally mixed energy eigenstates

What about the Second Law?

Probabilistic pseudo quantum H-Theorem

Almost all initial states of a **large Quantum system** of dimension d are such that under the time development induced by a **generic Hamiltonian** the states of **all small subsystems** with dimension $d_S \ll d$ are close to an **equilibrium state** for almost all times.

The equilibrium state is **independent of the initial state** and **maximizes the local Von Neumann entropy**.

Possible objections

- Boltzmann's H-Theorem is about **closed systems!**

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- Complete **independence** from the initial state is unrealistic!
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All I want to say is that:

There is a **generic tendency** to maximize entropy.

Literatur

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→ beamer slides: <http://www.cgogolin.de>

Thank you for your attention!